

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

E. Mark Evers, Robert G. Gray and Vincent Mangano

Serial No. 09/939,443

Filed August 24, 2001

For RELATIONSHIP-BASED COMMERCIAL  
TRANSACTION SYSTEM AND METHOD

Dated: November 27, 2001

Our File: JUBB 301

Attention: **BOX MISSING PARTS**

Commissioner of Patents

Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

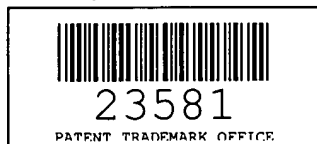
Enclosed for filing in connection with the above-identified patent application is an executed Declaration and Power of Attorney form.

Also enclosed is a duplicate copy of the Notice to File Missing Parts of Non-Provisional Application, together with our check in the amount of \$595.00 for payment of the filing fee and surcharge for filing these documents subsequent to the filing date of the application. Applicants claim small entity status. See 37 C.F.R. 1.27

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231 on November 27, 2001.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,443	08/24/2001	E. Mark Evers	JUBB 301

CONFIRMATION NO. 3643

## FORMALITIES LETTER



\*OC000000006814263\*

23581

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01/14/2002 BABRAHA1 00000074 09939443

01 FC:201	370.00 OP
02 FC:204	140.00 OP
04 FC:197	20.00 OP



Date Mailed: 09/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/14/2002 BABRAHA1 00000074 09939443

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:204	140.00 OP
04 FC:197	20.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$175.
  - \$40 for 1 independent claims over 3.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 595.**

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01 FC:201	355.00 OP
02 FC:202	40.00 OP
03 FC:204	135.00 OP

A copy of this notice **MUST** be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE